obtaining  $10x = 73.1414 \dots$ . We now multiply by a power of 10 to move one block to the left of the decimal point; here getting  $1000x = 7314.1414 \cdots$ . We now subtract to obtain an integer; here getting  $1000x - 10x = 7314 - 73 = 7241$ , whence  $x = 7241/990$ , a rational number.

## Cantor's Second Proof

We will now give Cantor's second proof of the uncountability of R. This is the elegant ''diagonal'' argument based on decimal representations of real numbers.

**2.5.5 Theorem** The unit interval  $[0,1] := \{x \in \mathbb{R} : 0 \le x \le 1\}$  is not countable.

**Proof.** The proof is by contradiction. We will use the fact that every real number  $x \in [0, 1]$ has a decimal representation  $x = 0.b_1b_2b_3\cdots$ , where  $b_i = 0, 1, \ldots, 9$ . Suppose that there is an enumeration  $x_1, x_2, x_3 \cdots$  of all numbers in [0,1], which we display as:

> $x_1 = 0.b_{11}b_{12}b_{13}\cdots b_{1n} \cdots$  $x_2 = 0.b_{21}b_{22}b_{23}\cdots b_{2n} \cdots$  $x_3 = 0.b_{31}b_{32}b_{33}\cdots b_{3n} \cdots,$ <br> $\cdots$ "" "" """<br>" " " " " " " "" "  $x_n = 0.b_{n1}b_{n2}b_{n3}\cdots b_{nn}\cdots$ "" "" """

We now define a real number  $y := 0.y_1y_2y_3 \cdots y_n \cdots$  by setting  $y_1 := 2$  if  $b_{11} \ge 5$  and  $y_1 := 7$  if  $b_{11} \leq 4$ ; in general, we let

$$
y_n := \begin{cases} 2 & \text{if } b_{nn} \geq 5, \\ 7 & \text{if } b_{nn} \leq 4. \end{cases}
$$

Then  $y \in [0, 1]$ . Note that the number y is not equal to any of the numbers with two decimal representations, since  $y_n \neq 0,9$  for all  $n \in \mathbb{N}$ . Further, since y and  $x_n$  differ in the *n*th decimal place, then  $y \neq x_n$  for any  $n \in \mathbb{N}$ . Therefore, y is not included in the enumeration of [0,1], contradicting the hypothesis. O.E.D.  $[0,1]$ , contradicting the hypothesis.

## Exercises for Section 2.5

- 1. If  $I := [a, b]$  and  $I' := [a', b']$  are closed intervals in  $\mathbb{R}$ , show that  $I \subseteq I'$  if and only if  $a' \le a$  and  $b \leq b'$ .
- 2. If  $S \subseteq \mathbb{R}$  is nonempty, show that S is bounded if and only if there exists a closed bounded interval I such that  $S \subseteq I$ .
- 3. If  $S \subseteq \mathbb{R}$  is a nonempty bounded set, and  $I_S := \inf S$ , sup  $S$ , show that  $S \subseteq I_S$ . Moreover, if J is any closed bounded interval containing S, show that  $I_S \subseteq J$ .
- 4. In the proof of Case (ii) of Theorem 2.5.1, explain why x, y exist in S.
- 5. Write out the details of the proof of Case (iv) in Theorem 2.5.1.
- 6. If  $I_1 \supseteq I_2 \supseteq \cdots \supseteq I_n \supseteq \cdots$  is a nested sequence of intervals and if  $I_n = [a_n, b_n]$ , show that  $a_1 \leq a_2 \leq \cdots \leq a_n \leq \cdots$  and  $b_1 \geq b_2 \geq \cdots \geq b_n \geq \cdots$ .
- 7. Let  $I_n := [0, 1/n]$  for  $n \in \mathbb{N}$ . Prove that  $\bigcap_{n=1}^{\infty} I_n = \{0\}$ .
- 8. Let  $J_n := (0, 1/n)$  for  $n \in \mathbb{N}$ . Prove that  $\bigcap_{n=1}^{\infty} J_n = \emptyset$ .
- 9. Let  $K_n := (n, \infty)$  for  $n \in \mathbb{N}$ . Prove that  $\bigcap_{n=1}^{\infty} K_n = \emptyset$ .
- 10. With the notation in the proofs of Theorems 2.5.2 and 2.5.3, show that we have  $\eta \in \bigcap_{n=1}^{\infty} I_n$ . Also show that  $[\xi, \eta] = \bigcap_{n=1}^{\infty} I_n$ .
- 11. Show that the intervals obtained from the inequalities in (2) form a nested sequence.
- 12. Give the two binary representations of  $\frac{3}{8}$  and  $\frac{7}{16}$ .
- 13. (a) Give the first four digits in the binary representation of  $\frac{1}{3}$ . (b) Give the complete binary representation of  $\frac{1}{3}$ .
- 14. Show that if  $a_k, b_k \in \{0, 1, ..., 9\}$  and if

$$
\frac{a_1}{10} + \frac{a_2}{10^2} + \dots + \frac{a_n}{10^n} = \frac{b_1}{10} + \frac{b_2}{10^2} + \dots + \frac{b_m}{10^m} \neq 0,
$$

then  $n = m$  and  $a_k = b_k$  for  $k = 1, \ldots, n$ .

- 15. Find the decimal representation of  $-\frac{2}{7}$ .
- 16. Express  $\frac{1}{7}$  and  $\frac{2}{19}$  as periodic decimals.
- 17. What rationals are represented by the periodic decimals  $1.25137 \cdots 137 \cdots$  and  $35.14653 \cdots 653 \cdots$ ?

If  $c > 1$ , then  $c^{1/n} = 1 + d_n$  for some  $d_n > 0$ . Hence by Bernoulli's Inequality 2.1.13(c),

$$
c = (1 + d_n)^n \ge 1 + nd_n \quad \text{for} \quad n \in \mathbb{N}.
$$

Therefore we have  $c - 1 \geq nd_n$ , so that  $d_n \leq (c - 1)/n$ . Consequently we have

$$
|c^{1/n} - 1| = d_n \le (c - 1)\frac{1}{n}
$$
 for  $n \in \mathbb{N}$ .

We now invoke Theorem 3.1.10 to infer that  $\lim_{h \to 0} (c^{1/n}) = 1$  when  $c > 1$ .

Now suppose that  $0 < c < 1$ ; then  $c^{1/n} = 1/(1 + h_n)$  for some  $h_n > 0$ . Hence Bernoulli's Inequality implies that

$$
c = \frac{1}{(1 + h_n)^n} \le \frac{1}{1 + nh_n} < \frac{1}{nh_n},
$$

from which it follows that  $0 < h_n < 1/nc$  for  $n \in \mathbb{N}$ . Therefore we have

$$
0 < 1 - c^{1/n} = \frac{h_n}{1 + h_n} < h_n < \frac{1}{nc}
$$

so that

$$
|c^{1/n}-1| < \left(\frac{1}{c}\right)\frac{1}{n} \quad \text{for} \quad n \in \mathbb{N}.
$$

We now apply Theorem 3.1.10 to infer that  $\lim_{h \to 0} (c^{1/n}) = 1$  when  $0 < c < 1$ . (d)  $\lim(n^{1/n})=1$ 

Since  $n^{1/n} > 1$  for  $n > 1$ , we can write  $n^{1/n} = 1 + k_n$  for some  $k_n > 0$  when  $n > 1$ . Hence  $n = (1 + k_n)^n$  for  $n > 1$ . By the Binomial Theorem, if  $n > 1$  we have

$$
n = 1 + nk_n + \frac{1}{2}n(n-1)k_n^2 + \cdots \ge 1 + \frac{1}{2}n(n-1)k_n^2,
$$

whence it follows that

$$
n-1\geq \frac{1}{2}n(n-1)k_n^2.
$$

Hence  $k_n^2 \leq 2/n$  for  $n > 1$ . If  $\varepsilon > 0$  is given, it follows from the Archimedean Property that there exists a natural number  $N_{\varepsilon}$  such that  $2/N_{\varepsilon} < \varepsilon^2$ . It follows that if  $n \geq \sup\{2, N_{\varepsilon}\}\)$  then  $2/n < \varepsilon^2$ , whence

$$
0 < n^{1/n} - 1 = k_n \le (2/n)^{1/2} < \varepsilon.
$$

Since  $\varepsilon > 0$  is arbitrary, we deduce that  $\lim_{n \to \infty} (n^{1/n}) = 1$ .

## Exercises for Section 3.1

- 1. The sequence  $(x_n)$  is defined by the following formulas for the *n*th term. Write the first five terms in each case:
	- (a)  $x_n := 1 + (-1)^n$  $; \t\t (b) \t x_n := (-1)^n/n,$ (c)  $x_n := \frac{1}{n(n+1)}$  $; \t(d) \t x := \frac{1}{n^2 + 2}.$
- 2. The first few terms of a sequence  $(x_n)$  are given below. Assuming that the "natural pattern" indicated by these terms persists, give a formula for the *n*th term  $x_n$ .<br>(a) 5, 7, 9, 11, ..., (b)  $1/2$ ,  $-1/4$ ,  $1/8$ 
	- (b)  $1/2, -1/4, 1/8, -1/16, \ldots$ (c)  $1/2, 2/3, 3/4, 4/5, \ldots$ , (d) 1, 4, 9, 16, ...
	-
- 3. List the first five terms of the following inductively defined sequences.
	- (a)  $x_1 := 1$ ,  $x_{n+1} := 3x_n + 1$ ,
	- (b)  $y_1 := 2$ ,  $y_{n+1} := \frac{1}{2}(y_n + 2/y_n)$ ,
	- (c)  $z_1 := 1$ ,  $z_2 := 2$ ,  $z_{n+2} := (z_{n+1} + z_n)/(z_{n+1} z_n)$ ,
	- (d)  $s_1 := 3$ ,  $s_2 := 5$ ,  $s_{n+2} := s_n + s_{n+1}$ .
- 4. For any  $b \in \mathbb{R}$ , prove that  $\lim_{h \to 0} (b/h) = 0$ .
- 5. Use the definition of the limit of a sequence to establish the following limits.
	- (a)  $\lim_{n \to \infty} \left( \frac{n}{n^2} \right)$  $n^2 + 1$  $\left( n \right)$  $= 0,$  (b)  $\lim_{n \to 1} \left( \frac{2n}{n+1} \right)$  $\left(\frac{2n}{n+1}\right) = 2,$ (c)  $\lim_{n \to \infty} \left( \frac{3n+1}{2n+5} \right)$  $2n + 5$  $\left(\frac{3n+1}{2n+5}\right) = \frac{3}{2}$  $;$  (d)  $\lim_{n \to \infty} \left( \frac{n^2 - 1}{2n^2 + 1} \right)$  $2n^2+3$  $\left(\frac{n^2-1}{2n^2+3}\right) = \frac{1}{2}.$
- 6. Show that

(a) 
$$
\lim_{n \to \infty} \left( \frac{1}{\sqrt{n+7}} \right) = 0,
$$
  
\n(b)  $\lim_{n \to \infty} \left( \frac{2n}{n+2} \right) = 2,$   
\n(c)  $\lim_{n \to \infty} \left( \frac{\sqrt{n}}{n+1} \right) = 0,$   
\n(d)  $\lim_{n \to \infty} \left( \frac{(-1)^n n}{n^2 + 1} \right) = 0.$ 

- $n+1$
- 7. Let  $x_n := 1/\ln(n + 1)$  for  $n \in \mathbb{N}$ . (a) Use the definition of limit to show that  $\lim(x_n) = 0$ .
	- (b) Find a specific value of  $K(\varepsilon)$  as required in the definition of limit for each of (i)  $\varepsilon = 1/2$ , and (ii)  $\varepsilon = 1/10$ .

 $n^2 + 1$ 

- 8. Prove that  $\lim(x_n) = 0$  if and only if  $\lim(|x_n|) = 0$ . Give an example to show that the convergence of  $(|x_n|)$  need not imply the convergence of  $(x_n)$ .
- 9. Show that if  $x_n \ge 0$  for all  $n \in \mathbb{N}$  and  $\lim(x_n) = 0$ , then  $\lim(\sqrt{x_n}) = 0$ .
- 10. Prove that if  $\lim(x_n) = x$  and if  $x > 0$ , then there exists a natural number M such that  $x_n > 0$  for all  $n > M$ .
- 11. Show that  $\lim_{n \to \infty} \left( \frac{1}{n} \frac{1}{n+1} \right)$  $\left(\frac{1}{n} - \frac{1}{n+1}\right) = 0.$
- 12. Show that  $\lim(\sqrt{n^2+1} n) = 0$ .
- 13. Show that  $\lim(1/3^n) = 0$ .
- 14. Let  $b \in \mathbb{R}$  satisfy  $0 < b < 1$ . Show that  $\lim(n b^n) = 0$ . [*Hint*: Use the Binomial Theorem as in Example 3.1.11(d).]
- 15. Show that  $\lim_{n \to \infty} \left( (2n)^{1/n} \right) = 1$ .
- 16. Show that  $\lim_{n \to \infty} (n^2/n!) = 0$ .
- 17. Show that  $\lim_{n \to \infty} (2^n/n!) = 0$ . [*Hint*: If  $n \ge 3$ , then  $0 < 2^n/n! \le 2(\frac{2}{3})^{n-2}$ .]
- 18. If  $\lim(x_n) = x > 0$ , show that there exists a natural number K such that if  $n \geq K$ , then  $\frac{1}{2}x < x_n < 2x$ .